

patent application serial no.

*Department of Commerce
Patent and Trademark Office
fee record*

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FORM PTO-1390
(REV 011-2000)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 USC § 371

492-PCT/USA

US APPLICATION NO (If known, see 37 CFR 1.5)

09/869723

INTERNATIONAL APPLICATION NO
PCT/CA00/01314INTERNATIONAL FILING DATE
November 6, 2000PRIORITY DATE CLAIMED
November 17, 1999TITLE OF INVENTION FABRICATION OF MULTIPLEXING AND DEMULTIPLEXING
SINGLE-MODE FIBER OPTIC COUPLERS

APPLICANT(S) FOR DO/EO/US

François GONTHIER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1 ☒ This is a **FIRST** submission of items concerning a filing under 35 USC § 371 ☐
- 2 ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC § 371 ☐
- 3 ☒ This is an express request to begin national examination procedures (35 USC § 371(f)) ☐ The submission must include items (5), (6), (9) and (21) indicated below ☐
- 4 ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31) ☐
- 5 ☒ A copy of the International Application as filed (35 USC § 371(c)(2)) ☐
- a ☐ is attached hereto (required only if not communicated by the International Bureau) ☐
- b ☒ has been communicated by the International Bureau ☐
- c ☐ is not required, as the application was filed in the United States Receiving Office (RO/US) ☐
- 6 ☐ An English language translation of the International Application as filed (35 USC § 371(c)(2)) ☐
- a ☐ is attached hereto ☐
- b ☐ has been previously submitted under 35 USC § 54(d)(4) ☐
- 7 ☒ Amendments to the claims of the International Application under PCT Article 19 (35 USC § 371(c)(3)) ☐
- a ☐ are attached hereto (required only if not communicated by the International Bureau) ☐
- b ☐ have been communicated by the International Bureau ☐
- c ☐ have not been made; however, the time limit for making such amendments has NOT expired ☐
- d ☒ have not been made and will not be made ☐
- 8 ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 USC § 371 (c)(3)) ☐
- 9 ☒ An oath or declaration of the inventor(s) (35 USC § 371(c)(4)) ☐
- 10 ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 USC § 371(c)(5)) ☐

Items 11 to 20 below concern document(s) or information included:

- 11 ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98 ☐
- 12 ☒ An assignment document for recording ☐ A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included ☐
- 13 ☒ A **FIRST** preliminary amendment ☐
- 14 ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment ☐
- 15 ☐ A substitute specification ☐
- 16 ☐ A change of power of attorney and/or address letter ☐
- 17 ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter and 35 USC § 821 - 1.825 ☐
- 18 ☐ A second copy of the published international application under 35 USC § 54(d)(4) ☐
- 19 ☐ A second copy of the English language translation of the international application under 35 USC § 54(d)(4) ☐
- 20 ☐ Other items or information:

21 ☒ The following fees are submitted:

*BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO ☐ \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO ☐ \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ☐ \$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ☐ \$690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4) ☐ \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY

\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)) ☐

\$ -

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	- 20 =		x \$18.00	\$ -
Independent claims	- 3 =		x \$80.00	\$ -
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$ -

TOTAL OF ABOVE CALCULATIONS =

\$ 860.00

☒ Applicant claims small entity status ☐ See 37 CFR 1.27 ☐ The fees indicated above
are reduced by 1/2 ☐

\$ 430.00

SUBTOTAL =

\$ 430.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)) ☐

\$ -

TOTAL NATIONAL FEE =

\$ 430.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)) ☐ The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) ☐ \$40.00 per property +

\$ 40.00

TOTAL FEES ENCLOSED =

\$ 470.00

Amount to be
refunded:

\$

charged:

\$

a ☐ A check in the amount of \$ _____ to cover the above fees is enclosed ☐

b ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees ☐
A duplicate copy of this sheet is enclosed ☐

c ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. _____ ☐ A duplicate copy of this sheet is enclosed ☐

d ☒ Fees are to be charged to a credit card ☐ WARNING: Information on this form may become public ☐ Credit card
information should not be included on this form ☐ Provide credit card information and authorization on PTO-2038 ☐

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
1.337 (a) or (b)) must be filed and granted to restore the application to pending status ☐

SEND ALL CORRESPONDENCE TO:

George J. Primak
13480 Huntington
Pierrefonds, Quebec
Canada H8Z 1G2

SIGNATURE

George J. Primak

NAME

24,991

REGISTRATION NUMBER